



ETHICAL SELF-CERTIFICATION

Please return this form to compliance@nwns.org

FAILURE TO COMPLETE ALL FIELDS MAY AFFECT YOUR ABILITY TO WORK WITH NWNS.

COMPANY NAME: _____

1. We hereby certify that our organization has not been and to the best of our knowledge is not currently being **INVESTIGATED** for, **PROSECUTED** for, **CONVICTED** of, or **PENALISED** for any of the following, in any jurisdiction:

- Corruption;
- Bribery (whether in relation to public officials or private/commercial transactions);
- Any dishonesty offence, fraud or theft;
- Fraudulent trading and related offences;
- Financial malpractice, money laundering;
- Any offence in relation to taxation, tax evasion and the facilitation of tax evasion;
- Any regulatory offence;

Signature (1):

2. We hereby certify that neither our directors nor any other person who has powers of representation or control of the organization have:

- a) been convicted of a criminal offence relating to the conduct of any business or profession;
- b) committed an act of grave misconduct in the course of any business or profession;

Signature (2):



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PLEASE ONLY CERTIFY AGAINST STATEMENT 3 OR 4

3. We hereby certify that none of our employees, directors, or any family members or close associates thereof, has been entrusted with a prominent public function in any state within the last twelve (12) months. Prominent public function includes:
- Heads of state, heads of government and ministers; members of parliament;
 - Members of high-level judicial bodies;
 - Ambassadors, charges d'affaires and high-ranking military officers;
 - Members of administrative, management or supervisory bodies of state-owned enterprises or public international organizations (e.g., the United Nations, World Bank, etc.)
 - Holding a critical position in local or regional government

Signature (3 if not 4):

OR (cross out the statement 3 or 4 which does not apply)

4. We hereby certify that our organization has policies and procedures in place to govern the conduct of all of our employees, directors, and partners of our organization, and prevent conflicts of interest involving any family members or close associates thereof, including those who may be currently, or may previously have been employed in a prominent public function, such as an Anti-Bribery or Conflicts of Interest policy/procedure:

(Please provide electronic copies of relevant polices to NWNS)



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Signature (4 if not 3):

FSR comments:

5. We hereby certify that our organization has a zero tolerance to bribery including facilitation payments, and comply with all applicable anti-bribery legislation, including but not limited to UK Bribery Act 2010 and the US Foreign Corrupt Practices Act (FCPA). This zero tolerance to bribery includes, but is not limited to having, policies, processes and controls in place to reduce the possibility of corruption and bribery.

Signature (5):

6. **THIS SECTION ONLY TO BE COMPLETED WHERE DELIVERING TELECOMS SERVICES OR PRODUCTS.** Cross out or strike through section if not applicable.

We hereby certify that in delivering our services our organization and its employees and /or subcontractors understand and do or shall comply with all local or regional telecoms regulatory legislation

Signature (6):



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7. We hereby certify that our organization has a zero tolerance to financial crime, including tax evasion, the facilitation of tax evasion and money laundering. We comply with all applicable legislation. This zero tolerance to financial crime includes, but is not limited to having, policies, processes and controls in place to reduce the possibility of financial crime.

Signature (7):

DECLARATION:

I declare that to the best of my knowledge the foregoing certified statements are correct. I understand that the information will be used in the process to assess my organization's suitability to work with NWNS. Should any of the above supplied information prove to be materially incorrect NWNS may have grounds for the termination of any contract under the contractual obligations on accuracy of information. Furthermore, I understand that NWNS may require my organization to re-certify at a future date. I also confirm that I received, read and understand the NWNS Code of Conduct on Corruption and Bribery.

SIGNED FOR AND ON BEHALF OF THE ABOVE-NAMED COMPANY

Name: _____

Job Title: _____

Date: _____

Signature (8):

NWNS will generally expect this to be signed off by the (majority) owner of the company

Please email a copy of your ethical self-certification to compliance@nwns.org